

Evaluation Metrics for the Machine Reading Comprehension Task

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<http://penzant.net>

Agenda

1. Overview of the machine reading comprehension (RC) task
“What is the RC task?”
2. Evaluation methodology for RC datasets/systems
“How can we evaluate our systems/datasets?”
Sugawara⁺ (2017a, AACL) & Sugawara⁺ (2017b, ACL)
3. Discussion for constructing RC datasets:
“How can we create *difficult but not too difficult* questions?”

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“How can we create *difficult but not too difficult* questions?”

Reading Comprehension (RC) Task

ID: MCTest MC160.dev.29 (1) multiple:

Context: The princess climbed out the window of the high tower and climbed down the south wall when her mother was sleeping. She wandered out a good ways. Finally she went into the forest where there are no electric poles but where there are some caves.

Question: Where did the princess wander to after escaping?

Answer: A) Mountain *B) Forest C) Cave D) Castle

Task: context, question, and answer

Reading Comprehension (RC) Task

ID: MCTest MC160.dev.29 (1) multiple:

C1: The princess climbed out the window of the high tower and climbed down the south wall when her mother was sleeping.

C2: She wandered out a good ways.

C3: Finally she went into the forest where there are no electric poles but where there are some caves.

Q: Where did the princess wander to after escaping?

A: A) Mountain *B) Forest C) Cave D) Castle

Coreference resolution (*she = princess*)

Commonsense reasoning (*escaping = climbed down*)

Temporal relation (*climbed → wandered*)

Definitions

Towards the Machine Comprehension of Text: An Essay (Burges 2013)

“a machine comprehends a passage of text if, for any question regarding that text that can be answered correctly by a majority of native speakers, that machine can provide a string which those speakers would agree both answers that question”

Overview of QA4MRE Main Task at CLEF 2013 (Sutcliffe⁺ 2013)

“RC tests do not require only semantic understanding but they assume a cognitive process which involves using implications and presuppositions, retrieving the stored information, performing inferences to make implicit information explicit.”

→ the RC task is an evaluation method for language understanding systems in terms of their behavior, and tests a cognitive process that involves several skills, such as performing inferences using background knowledge, by letting the system answer questions about a given text.

Representative Datasets

- ✿ MCTest (2013)
- ✿ CNN/Daily Mail (2015)
- ✿ SQuAD (2016)

MCTest (2013)

- ✿ Richardson et al.
- ✿ Children stories and questions written by crowdworkers
- ✿ Multiple choice
- ✿ Pros:
 - ✗ Story-based RC = characters' intentions, relations of events, commonsense...
 - ✗ Limited vocabulary
- ✿ Cons
 - ✗ Not large: 660 stories with 4 questions each

James the Turtle was always getting in trouble. Sometimes he'd reach into the freezer and empty out all the food. Other times he'd sled on the deck and get a splinter. His aunt Jane tried as hard as she could to keep him out of trouble, but he was sneaky and got into lots of trouble behind her back.

One day, James thought he would go into town and see what kind of trouble he could get into. He went to the grocery store and pulled all the pudding off the shelves and ate two jars. Then he walked to the fast food restaurant and ordered 15 bags of fries. He didn't pay, and instead headed home.

His aunt was waiting for him in his room. She told James that she loved him, but he would have to start acting like a well-behaved turtle.

After about a month, and after getting into lots of trouble, James finally made up his mind to be a better turtle.

1) What is the name of the trouble making turtle?

- A) Fries
- B) Pudding
- C) James
- D) Jane

2) What did James pull off of the shelves in the grocery store?

- A) pudding
- B) fries
- C) food
- D) splinters

CNN/Daily Mail (2015)

- ✿ Hermann et al.
- ✿ News articles
- ✿ Cloze (fill-in-blank)
- ✿ Answer extraction

- ✿ Pros
 - ✗ 140M Qs, various topics
- ✿ Cons
 - ✗ Contains errors in coreference (Chen + 2016)

| Original Version | Anonymised Version |
|---|--|
| Context The BBC producer allegedly struck by Jeremy Clarkson will not press charges against the “Top Gear” host, his lawyer said Friday. Clarkson, who hosted one of the most-watched television shows in the world, was dropped by the BBC Wednesday after an internal investigation by the British broadcaster found he had subjected producer Oisín Tymon “to an unprovoked physical and verbal attack.” ... | the <i>ent381</i> producer allegedly struck by <i>ent212</i> will not press charges against the “ <i>ent153</i> ” host , his lawyer said friday . <i>ent212</i> , who hosted one of the most - watched television shows in the world , was dropped by the <i>ent381</i> wednesday after an internal investigation by the <i>ent180</i> broadcaster found he had subjected producer <i>ent193</i> “ to an unprovoked physical and verbal attack . ” ... |
| Query Producer X will not press charges against Jeremy Clarkson, his lawyer says. | producer X will not press charges against <i>ent212</i> , his lawyer says . |
| Answer Oisín Tymon | <i>ent193</i> |

SQuAD (2016)

- ✿ Rajpurkar et al.
- ✿ Wikipedia articles
- ✿ Answer extraction
- ✿ Pros
 - ✗ 100K Qs, various topics

Super_Bowl_50

The Stanford Question Answering Dataset

Super Bowl 50 was an American football game to determine the champion of the National Football League (NFL) for the 2015 season. The American Football Conference (AFC) champion **Denver Broncos** defeated the National Football Conference (NFC) champion Carolina Panthers 24–10 to earn their third **Super Bowl** title. The game was played on February 7, 2016, at Levi's Stadium in the San Francisco Bay Area at Santa Clara, California. As this was the **50th Super Bowl**, the league emphasized the "golden anniversary" with various gold-themed initiatives, as well as temporarily suspending the tradition of naming each **Super Bowl** game with Roman numerals (under which the game would have been known as "**Super Bowl L**"), so that the logo could prominently feature the Arabic numerals **50**.

✿ Cons

- ✗ Goldberg (2017) says "pattern matching"
- ✗ Easily fooled by Jia⁺ (2017)'s adversarial examples

Which NFL team represented the AFC at Super Bowl 50?

Ground Truth Answers: **Denver Broncos** | Denver Broncos | Denver Broncos

Which NFL team represented the NFC at Super Bowl 50?

Ground Truth Answers: Carolina Panthers | Carolina Panthers | Carolina Panthers

Where did Super Bowl 50 take place?

Ground Truth Answers: Santa Clara, California | Levi's Stadium | Levi's Stadium in the San Francisco Bay Area at Santa Clara, California.

Datasets

| Dataset | Year | Question/ Answer | Given text | Genre or Source | Question sourcing | Question size | Reader |
|--------------------|------|--------------------------|---------------|------------------------|----------------------|------------------|---------|
| QA4MRE | 2013 | Complete/ Multichoice | Document | Technical documents | Expert | 284 | Student |
| MCTest | 2013 | Complete/ Multichoice | Paragraphs | Crafted story | Crowd- worker | 2640 | Child |
| CNN/ Daily Mail | 2015 | Cloze/ Extraction | Document | News article | Auto- mated | 1.4M | Adult |
| SQuAD | 2016 | Complete/ Extraction | Paragraph | Wikipedia | Crowd- worker | 100K | Adult |
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RC and Question Answering

Question answering

- ✿ Q + A without the explicit context
e.g., Q: *'What is solid CO₂ commonly called?'* A: *dry ice*
- ✿ Include “searching” from the resources

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Reading comprehension as question answering

- ✿ Q + A with the explicit context
- ✿ Inquire not only general knowledge but the context-dependent information about temporal situations/stories

RC and Textual Entailment

Textual entailment

- ✿ Recognizing and testing:
premise → hypothesis
e.g., Premise: *'alcohol reduces blood pressure'*
→ Hypothesis: *'alcohol affects blood pressure'*

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Reading comprehension as textual entailment

- ✿ Recognizing and testing:
multiple premises (from context) → hypothesis (from Q+A)
- ✿ Cf. FraCaS (Cooper, 1996) (issues: simple & small)
- ✿ Etzioni⁺ (2006): “Machine reading [...] combines multiple textual entailment steps to form a coherent set of beliefs based on the text.” (see also Manning (2006))

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3. Discussion for constructing RC datasets:
“How can we create *difficult but not too difficult* questions?”

Motivation: Accuracy is not Enough

| Dataset A | System X |
|-----------|----------|
| Q1 | x |
| Q2 | o |
| Q3 | x |
| ⋮ | ⋮ |
| Q100 | o |
| Accuracy | 75.0% |

- Only with accuracy, we cannot tell what the systems understand and what they don't.
- ✿ Chen⁺ (2016) shows: CNN/Daily Mail datasets contain unanswerable or ambiguous questions

Our Research Question

- ✿ How can we evaluate and analyze our RC systems?
 - Propose evaluation metrics for RC
 - Focus on prerequisite skills and readability

Motivation: Two Types of Difficulties

ID: SQuAD (2016), United_Methodist_Church

Context: The United Methodist Church (UMC) practices infant and adult baptism. Baptized Members are those who have been baptized as an infant or child, but who have not subsequently professed their own faith.

Question: What are members who have been baptized as an infant or child but who have not subsequently professed their own faith?

Answer: Baptized Members

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Context: The princess climbed out the window of the high tower and climbed down the south wall when her mother was sleeping. She wandered out a good ways. Finally she went into the forest where there are no electric poles but where there are some caves.

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→ Answerble simply by noticing one sentence

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Answer: A) Mount:

→ Require reading multiple sentence with skills

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Difficult-to-read & Easy-to-answer

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Question: Where did the princess

Answer: A) Mountain *B) Forest

Easy-to-read & Difficult-to-answer

Our study: Evaluation Metrics for RC

1. Define prerequisite skills and readability metrics
 - ✗ 13 prerequisite skills and 10 readability measures

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 - ⊗ Readability of “context sentences necessary for answering” (selected in the annotation) (\neq whole context)

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3. Calculate readability of the datasets
 - ✗ Readability of “context sentences necessary for answering” (selected in the annotation) (\neq whole context)
4. Analyze the datasets on two types of difficulties
 - ✗ See the relation between skills and readability

System Analysis by Accuracy

| Dataset A | System X |
|-----------|----------|
| Q1 | x |
| Q2 | o |
| Q3 | x |
| ⋮ | ⋮ |
| Q100 | o |
| Accuracy | 75.0% |

System Analysis by the Skills and Readability

| Question | Dataset A | | | | | | | | System X |
|----------|---------------------|---------|-----|----------|---------------------|------|-----|-------|----------|
| | Prerequisite Skills | | | | Readability Metrics | | | | |
| | Skill 1 | Skill 2 | ... | Skill 13 | RM 1 | RM 2 | ... | RM 10 | |
| Q1 | x | - | ... | x | 5.1 | 27.1 | ... | 0.17 | x |
| Q2 | - | o | ... | - | 3.9 | 13.5 | ... | 0.11 | o |
| Q3 | x | x | ... | - | 4.6 | 26.9 | ... | 0.08 | x |
| ⋮ | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| Q100 | o | o | ... | o | 4.3 | 16.9 | ... | 0.12 | o |
| Accuracy | 40.0% | 90.0% | ... | 70.0% | - | - | ... | - | 75.0% |

This study shows statistics of datasets & an observation on the relation between skills (difficulty in answering) and readability (difficulty in reading)

Prerequisite Skills

-
- | | |
|----------------------------|--------------------------------|
| 1. Object tracking | 8. Ellipsis |
| 2. Mathematical reasoning | 9. Bridging |
| 3. Coreference resolution | 10. Elaboration |
| 4. Logical reasoning | 11. Meta-knowledge |
| 5. Analogy | 12. Schematics clause relation |
| 6. Causal relation | 13. Punctuation |
| 7. Spatiotemporal relation | |
-

- ✿ New knowledge reasoning skills in this study
- “Commonsense reasoning” is updated to new 4 skills for more detailed analysis

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- ✿ Previous study (Sugawara⁺, AACL 2017): skills are based on existing NLU tasks in NLP
- Analyzed MCTest dataset and three systems, and showed that *“the more skills are required, the more difficult to answer (lower accuracy).”*
- ✿ We regard this as *the difficulty of answering*

Annotated RC Datasets: 100 Qs for each

| RC dataset | Genre | Query sourcing | Task formulation |
|---------------------|-----------------------------|-------------------------------|---------------------|
| QA4MRE (2013) | Technical documents | Handcrafted by experts | Multiple choice |
| MCTest (2013) | Narratives by crowd workers | Crowd sourced | Multiple choice |
| SQuAD (2016) | Wikipedia articles | Crowd sourced | Text span selection |
| Who-did-What (2016) | News articles (Gigaward v5) | Automated from other articles | Cloze |
| MS MARCO (2016) | Segmented web pages | Search engine queries | Description |
| NewsQA (2016) | News articles | Crowd sourced | Text span selection |

Annotation with the Prerequisite Skills

- ✿ 13 skills: mult-label annotation
- ✿ 6 datasets: QA4MRE, MCTest, SQuAD, Who-did-What, MS MARCO, NewsQA
- ✿ 100 questions for each dataset
- ✿ 4 annotators: graduate NLP students
- ✿ For 62 randomly sampled questions, 90.1% agreement
- ✿ Annotation: choose skill labels and “necessary context sentences” for answering
- ✿ Sentences are used to calculate readability measures

Result: Frequencies (%) of Prerequisite Skills

| Skills | QA4MRE | MCTest | SQuAD | WDW | MARCO | NewsQA |
|------------------|--------|--------|-------|------|-------|--------|
| 1. Tracking | 11.0 | 6.0 | 3.0 | 8.0 | 6.0 | 2.0 |
| 2. Math. | 4.0 | 4.0 | 0.0 | 3.0 | 0.0 | 1.0 |
| 3. Coref. resol. | 32.0 | 49.0 | 13.0 | 19.0 | 15.0 | 24.0 |
| 4. Logical rsng. | 15.0 | 2.0 | 0.0 | 8.0 | 1.0 | 2.0 |
| 5. Analogy | 7.0 | 0.0 | 0.0 | 7.0 | 0.0 | 3.0 |
| 6. Causal rel. | 1.0 | 6.0 | 0.0 | 2.0 | 0.0 | 4.0 |
| 7. Sptemp rel. | 26.0 | 9.0 | 2.0 | 2.0 | 0.0 | 3.0 |
| 8. Ellipsis | 13.0 | 4.0 | 3.0 | 16.0 | 2.0 | 15.0 |
| 9. Bridging | 69.0 | 26.0 | 42.0 | 59.0 | 36.0 | 50.0 |
| 10. Elaboration | 60.0 | 8.0 | 13.0 | 57.0 | 18.0 | 36.0 |
| 11. Meta | 1.0 | 1.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| 12. Clause rel. | 52.0 | 40.0 | 28.0 | 42.0 | 27.0 | 34.0 |
| 13. Punctuation | 34.0 | 1.0 | 24.0 | 20.0 | 14.0 | 25.0 |
| Nonsense | 10.0 | 1.0 | 3.0 | 27.0 | 14.0 | 1.0 |

Result: Frequencies (%) of Prerequisite Skills

| Skills | QA4MRE | MCTest | SQuAD | WDW | MARCO | NewsQA |
|------------------|--------|--------|-------|------|-------|--------|
| 1. Tracking | 11.0 | 6.0 | 3.0 | 8.0 | 6.0 | 2.0 |
| 2. Math. | 4.0 | 4.0 | 0.0 | 3.0 | 0.0 | 1.0 |
| 3. Coref. resol. | 32.0 | 49.0 | 13.0 | 19.0 | 15.0 | 24.0 |
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| 1 | 13.0 | 36.0 | 33.0 | 5.0 | 35.0 | 26.0 |
| 2 | 13.0 | 24.0 | 24.0 | 14.0 | 29.0 | 23.0 |
| 3 | 20.0 | 15.0 | 6.0 | 22.0 | 6.0 | 25.0 |
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| 5 | 13.0 | 1.0 | 1.0 | 6.0 | 0.0 | 2.0 |
| 6 | 10.0 | 1.0 | 0.0 | 6.0 | 0.0 | 1.0 |
| 7 | 1.0 | 0.0 | 0.0 | 2.0 | 0.0 | 0.0 |
| 8 | 1.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| 9 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| 10 | 3.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Ave. | 3.25 | 1.56 | 1.28 | 2.43 | 1.19 | 1.99 |

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| 6 | 10.0 | 1.0 | 0.0 | 6.0 | 0.0 | 1.0 |
| 7 | 1.0 | 0.0 | 0.0 | 2.0 | 0.0 | 0.0 |
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| 9 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
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Calculation of Readability

- ✿ Ave. Num. of characters per word (*NumChar*)
- ✿ Ave. Num. of syllables per word (*NumSyll*)
- ✿ Ave. sentence length in words (*MLS*)
- ✿ Proportion of words in AWL (*AWL*)
- ✿ Modifier variation (*ModVar*)
- ✿ Num. of coordinate phrases per sentence (*CoOrd*)
- ✿ Coleman-Liau index (*Coleman*)
- ✿ Dependent clause to clause ratio (*DC/C*)
- ✿ Complex nominals per clause (*CN/C*)
- ✿ Adverb variation (*AdvVar*)

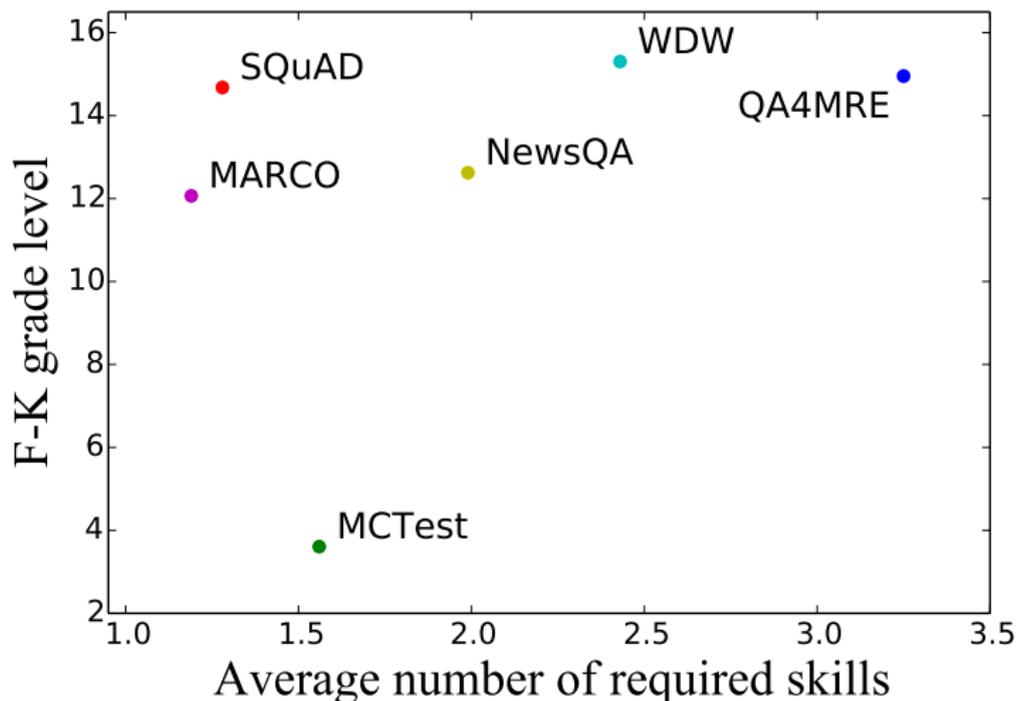
Figure: 10 readability measure from Vajjala and Meurers (2012).

Result: Readability Metrics

| Mesures | QA4MRE | MCTest | SQuAD | WDW | MARCO | NewsQA |
|---------|---------------|---------------|---------------|---------------|--------------|--------------|
| NumChar | 5.026 | <u>3.892</u> | 5.378 | 4.988 | 5.016 | 5.017 |
| NumSyll | 1.663 | <u>1.250</u> | 1.791 | 1.657 | 1.698 | 1.635 |
| MLS | 28.488 | <u>11.858</u> | 23.479 | 29.146 | 19.634 | 22.933 |
| AWL | 0.067 | <u>0.003</u> | 0.071 | 0.033 | 0.047 | 0.038 |
| ModVar | 0.174 | <u>0.114</u> | 0.188 | 0.150 | 0.186 | 0.138 |
| CoOrd | 0.922 | <u>0.309</u> | 0.722 | 0.467 | 0.651 | 0.507 |
| Coleman | 12.553 | <u>4.333</u> | 14.095 | 12.398 | 11.836 | 12.138 |
| DC/C | 0.343 | 0.223 | 0.243 | 0.254 | <u>0.220</u> | 0.264 |
| CN/C | 1.948 | <u>0.614</u> | 1.887 | 2.310 | 1.935 | 1.702 |
| AdvVar | 0.038 | 0.035 | 0.032 | <u>0.019</u> | 0.022 | <u>0.019</u> |
| F-K | 14.953 | <u>3.607</u> | 14.678 | 15.304 | 12.065 | 12.624 |
| Words | 1545.7 | 174.1 | 130.4 | 253.7 | <u>70.7</u> | 638.4 |

*F-K = Flesch-Kincaid grade level
= education level required to understand the text.

Relation btwn Skills and Readability

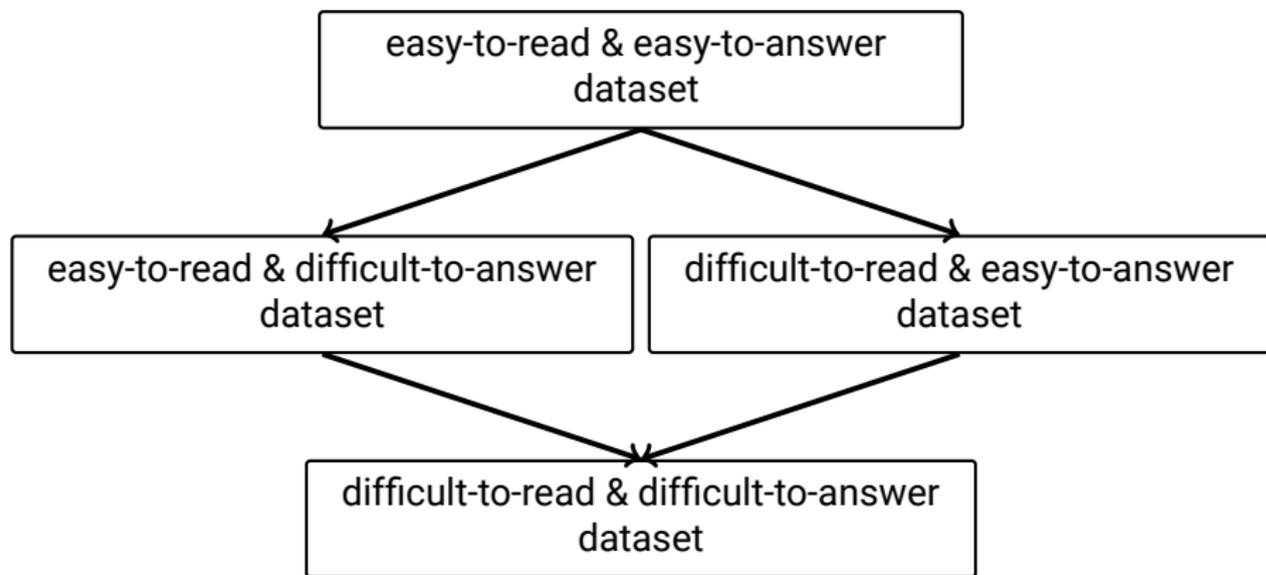


Observation

- ✿ There is only a weak correlation between readability metrics and numbers of required skills
- Difficult to read \nRightarrow difficult to answer (and vice versa)
- It is possible to create a dataset that consists of an easy-to-read context and difficult-to-answer questions.

How to Use This Observation?

For development of RC systems, select datasets in the following steps:



Short Discussion

Answerability of questions

- ✿ We cannot evaluate the difference among “truly difficult,” “non sense,” and “no answer” when we encounter systems’ incorrect answers (and even in human performance!)
- ✿ It is not easy to maintain the quality of questions especially in crowd-based sourcing.

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- ✿ It is not easy to maintain the quality of questions especially in crowd-based sourcing.

Corpus genre

- ✿ Are Wikipedia or news articles enough?
Example: narratives are close to our everyday experience (characters’ emotions, intentions, and actions)

Summary of the Second Part

Proposed evaluation methodology for RC

1. Defined two classes of metrics:
prerequisite skills and readability
 2. Annotated RC datasets with the skills
 3. Calculated readability of datasets
 4. Analyzed datasets
- Results can be used for evaluation of systems

Observation

- ✿ There is only a weak correlation between readability metrics and numbers of required skills

Agenda

1. Overview of the machine reading comprehension (RC) task
“What is the RC task?”
2. Evaluation methodology for RC datasets/systems
“How can we evaluate our systems/datasets?”
3. Discussion for constructing RC datasets:
“How can we create *difficult but not too difficult* questions?”

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“How can we create *difficult but not too difficult* questions?”
→ What factors affect the difficulty of RC questions?

How do we solve RC questions?

1. Read a given text and understand the meaning of a question about it
 - ✗ e.g. which type of entity is required.

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3. Make hypotheses using the question and each answer candidate
4. Test each hypothesis whether it can be entailed from a given text or its sub-sentences
5. Choose a hypothesis that is most likely to be entailed, and return its corresponding candidate

Parameters of the Difficulty

A. Understanding a question itself

- ⊗ Involving some skills such as coreference resolution
- Easier if a question does not require background knowledge (difficult example: “what is the main theme of this passage?”)

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B. Identifying answer candidates

- ⊗ Recognizing entity types (wh-) and clause (why/how)
- Easier if there are few answer candidates (e.g., there is only one temporal expression in the context for a *when* question)
Multiple choice seems better (cf. Levesque (2013))

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- C. Choosing evidential sentence(s) from a given text
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 - Easier if the number of sentences is small (like TE)
- D. Testing entailment between evidence and hypothesis
 - ⊗ How many prerequisite skills are required
(Partly revealed by our evaluation methodology)
 - Easier if no skill is required (e.g., the hypothesis is almost similar to the evidence)

Summary

1. Overview of the machine reading comprehension task
“What is the reading comprehension (RC) task?”
2. Evaluation methodology for RC datasets/systems
“How can we evaluate our systems/datasets?”
3. Discussion for constructing RC datasets:
“How can we create *difficult but not too difficult* questions?”

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Appendix: Correlation btwn Readability and the Skills

| Metrics | r | p |
|---------|-------|-------|
| NumChar | 0.067 | 0.161 |
| NumSyll | 0.057 | 0.235 |
| MLS | 0.411 | 0.000 |
| AWL | 0.160 | 0.001 |
| ModVar | 0.063 | 0.189 |
| CoOrd | 0.194 | 0.000 |
| Coleman | 0.147 | 0.002 |
| DC/C | 0.174 | 0.000 |
| CN/C | 0.167 | 0.000 |
| AdvVar | 0.007 | 0.882 |
| F-K | 0.348 | 0.000 |

Table: Pearson's correlation coefficients (r) with the p-values (p) in all RC datasets

Appendix: Knowledge reasoning

✿ Ellipsis

- ✗ Recognizing implicit/omitted information

- ✗ e.g. *She is a smart student* → *She is a student*

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✿ Meta-knowledge

- ✗ Inference using external knowledge including a reader, writer, and text genre
- ✗ e.g. *Who is the main character in this story?*